

Audit and Risk Committee

There will be a meeting of the **Audit and Risk Committee** of **Orkney NHS Board** virtually via **Microsoft Teams** on **Tuesday 7 March 2023** at 11:30 am

Jason Taylor
Chair

B U S I N E S S

Item	Topic	Lead Person	Paper Number	Purpose
1	Apologies	Chair		To <u>note</u> apologies
2	Declaration of interest – agenda items	Chair		To <u>record</u> any declarations of interest regarding agenda items
3	Minute of previous meetings held on: • 6 December 2022	Chair		To check for accuracy, <u>approve</u> and <u>signature</u> by Chair
4	Matters arising	Chair		To <u>seek assurance</u> that actions from the previous meeting have been progressed
5	Action Log	Chair		<u>No actions</u> ongoing or outstanding
6	Governance			
6.1	Code of Corporate Governance	Corporate Services Manager	ARC2223-48	To <u>approve</u> the updated Code of Corporate Governance and to <u>recommend</u> for Board approval
6.2	<u>Governance Committee Workplans 2023/24</u> • Joint Clinical and Care Governance Committee	Corporate Services Manager	ARC2223-49	To <u>receive</u> the approved documentation from the Governance

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	<ul style="list-style-type: none"> • Finance and Performance Committee • Remuneration Committee • Staff Governance Committee 			Committees for review and assurance
7	External Audit			
7.1	Annual Audit Plan 2022/23	External Auditors	ARC2223-50	To <u>note</u> the workplan for the 2022/23 external audit of NHS Orkney
8	Internal Audit			
8.1	Internal Audit progress report	Internal Auditor	ARC2223-51	To <u>receive</u> update regarding progress with the plan
8.3	Internal Audit Reports			
8.3.1	Procurement	Internal Auditors	ARC2223-52	To <u>review</u> the report and note the recommendations
8.3.2	Joint Working Arrangements	Internal Auditors	ARC2223-53	To <u>review</u> the report and note the recommendations
8.3.3	Safeguarding	Internal Auditors	ARC2223-54	To <u>review</u> the report and note the recommendations
8.3.4	Clinical Governance	Internal Auditors	ARC2223-55	To <u>review</u> the report and note the recommendations
9	Internal and External Audit recommendations			
9.1	Internal and External	Director of	ARC2223	To <u>note</u> the status and

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	Audit Recommendations	Finance	-56	update of the actions and approve the amended timescale
10	Annual Accounts			
10.1	Annual Accounts Timetable	Director of Finance	ARC2223-57	To <u>approve</u> the timetable
11	Information Governance			
11.1	Information Governance Committee Chair's Report and approved minutes	Director of Finance	ARC2223-58	To <u>note</u> the Chair's Report and approved minutes provided
11.2	Information Governance 6-monthly Assurance Report (2/2)	Director of Finance	ARC2223-59	
12	Fraud			
12.1	Counter Fraud Services Quarterly Report	Director of Finance	ARC2223-60	To <u>note</u> the quarterly report
13	Risks			
13.1	Risk and Assurance Report	Director of Finance	ARC2223-61	To <u>note</u> the update provided and <u>seek assurance</u> on progress
13.2	Risks escalated from other Governance Committees	Chair	-	<i>There were no risks escalated from other Governance Committees for this meeting</i>
13.3	Agree items to be brought to attention of Board or other Governance Committees	Chair		To <u>agree</u> key messages to escalate to Board or Governance Committees
14	Any Other Competent			

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Business

15 *Items for Information and Noting Only

15.1 Audit Scotland Reports

15.1.1 *Audit Scotland Technical Bulletin 2022/4

15.2 Counter Fraud Services (CFS) reports

15.2.1 * Investigatory Powers Commissioners Office (IPCO) Inspection Report 1st February 2023

15.3 *Reporting Timetable for 2022/23

15.4 *Record of Attendance

*Items marked with an asterisk are for noting only and any queries should be raised out with the meeting with the relevant Lead Director or Corporate Services Manager to seek further assurance on performance.

Distribution:

Audit Committee Members:

Jason Taylor, Chair
Issy Grieve, Vice-Chair
Ryan McLaughlin, Employee Director
Steven Heddle, Non-Executive Director

In attendance:

Carrie Sommerville, Planning Performance and Risk Manager
Michael Dickson, Interim Chief Executive
Mark Doyle, Director of Finance (Lead)
David Eardley, Chief Internal Auditor, Azets
Keren Somerville, Head of Finance
Matthew Swann, Internal Auditor, Azets
Rashpal Khangura, Director, KPMG
Matthew Moore, Senior Manager, KPMG